

PATENT  
Attorney Docket No. 3715.0152-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Claude AURIAULT *et al.* ) Group Art Unit: Unassigned  
Application No.: 10/566,386 ) Examiner: Unassigned  
Filed: January 30, 2006 )  
For: TRANSGENIC MICE HAVING A ) Confirmation No.: 5549  
HUMAN MAJOR )  
HISTOCOMPATIBILITY )  
COMPLEX (MHC) PHENOTYPE, )  
EXPERIMENTAL USES AND )  
APPLICATIONS ) **VIA EFS WEB**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE**

This paper is filed in reply to the Notification of Defective Response dated November 22, 2010 and our telephone conversation with Mr. Walker on February 23, 2011.

The Notification indicates that the copy of the Sequence Listing in computer readable form was received, but did not comply with the requirements of 37 C.F.R. § 1.822 and/or 1.823. Applicant submits herewith a copy of the Sequence Listing in computer readable form.

Please grant any extensions of time required to enter this response and charge  
any additional required fees to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: February 24, 2011

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# UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/566,386	Claude Auriault	037150152
22852 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413		INTERNATIONAL APPLICATION NO. PCT/IB04/02374
		I.A. FILING DATE      PRIORITY DATE 07/05/2004      07/30/2003

**CONFIRMATION NO. 5549**  
**371 FORMALITIES LETTER**



OC000000044609474

Date Mailed: 11/22/2010

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 01/30/2006
- Copy of the International Search Report filed on 01/30/2006
- Preliminary Amendments filed on 01/04/2008
- Information Disclosure Statements filed on 04/24/2006
- Biochemical Sequence Diskette filed on 11/10/2009
- Oath or Declaration filed on 10/17/2006
- Biochemical Sequence Listing filed on 01/30/2006
- U.S. Basic National Fees filed on 01/30/2006
- Substitute Specification filed on 01/04/2008
- Priority Documents filed on 01/30/2006
- Specification filed on 01/30/2006
- Claims filed on 01/30/2006
- Abstracts filed on 01/30/2006
- Drawings filed on 01/30/2006
- Paper nucleotide sequence listings filed on 01/30/2006

Applicant's response filed 11/10/2009 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 08/17/2006 have not been completed.

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Refer to attachment or PAIR document dated Please contact SCORE/STIC.

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR**

**1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- **For Rules Interpretation, call (571) 272-0951**
- **For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

ULYSSES G WALKER

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